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Habilitation Regulations of
Karlsruhe Institute of Technology (KIT)

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Habilitation Regulations of Karlsruhe Institute of Technology (KIT)

dated July 13, 2022

Pursuant to Art. 10, par. 2, No. 4 and Art. 20, par. 2, cl. 1 of the Act on Karlsruhe Institute of Technology (KIT Act – KITG), as amended on July 14, 2009 (Bulletin, pp. 317), last amended by Art. 2 of the Act on the Amendment of the Act of Baden-Württemberg on Universities and Colleges and Other Acts (Gesetz zur Änderung des Landeshochschulgesetzes und anderer Gesetze) of October 26, 2021 (Bulletin, p. 94) and Art. 39, par. 5, cl. 1 of the Act of Baden-Württemberg on Universities and Colleges (Landeshochschulgesetz – LHG), as amended on January 1, 2005 (Bulletin, pp. 1), last amended by Art. 7 of the 10th Ordinance of the Ministry of the Interior on the Adaptation of State Law to Changed Departments and Designations of Ministries (10. Anpassungsverordnung) of December 21, 2021 (Bulletin, 2022, p. 1), the Senate of Karlsruhe Institute of Technology (KIT) adopted the following statutes on June 27, 2022.

The President expressed his approval according to Art. 20, par. 2, cl. 1 KITG in conjunction with Art. 39, par. 5, cl. 1 LHG on July 13, 2022.

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I. General Provisions

Article 1 Purpose of the Habilitation

(1) ¹The habilitation serves as evidence of the candidate's particular ability to independently represent an academic subject or area in research and teaching.

(2) ¹On the basis of the successful habilitation (*facultas docendi*), Karlsruhe Institute of Technology (hereinafter referred to as KIT) shall confer the authorization to lecture (*venia legendi*) for a particular scientific subject or area. ²The conferral includes the right to hold the title "*Privatdozentin*" or "*Privatdozent*" if the person conducts at least two hours per week of teaching in their area (titular teaching).

Article 2 Habilitation Work

(1) ¹Candidates must complete the following work to obtain the habilitation:

1. Presentation of a habilitation thesis or scientific publications as specified in Art. 12 which indicate the habilitation candidate's suitability for the research activities which a professorship entails;
2. a scientific lecture followed by a debate as specified in Art. 14.

(2) ¹As a rule, the habilitation shall be completed within a period of five years following notification, taking into account special subject-specific circumstances. ²An interim evaluation shall be conducted of the accomplishments achieved regarding the habilitation thesis in the habilitation phase.

Article 3 Habilitation Committee

(1) ¹The decisions in the habilitation procedure shall be made by the habilitation committee unless stipulated otherwise by these habilitation regulations.

(2) ¹The habilitation committee shall comprise:

1. The members of the KIT Department Council, provided they are university professors at KIT according to Art. 14, par. 1, cl. 1, No. 1 KITG or members holding a habilitation;
2. the other university professors at KIT belonging to the KIT Department according to Art. 14, par. 1, cl. 1, No. 1 KITG as well as members holding a habilitation, retired professors, and professors emeritus/emerita, provided they declare their membership in the habilitation committee to the KIT Dean for the particular habilitation procedure. The declaration is to be made before initial constitution of the habilitation committee.

(3) ¹The habilitation committee shall be convened by the KIT Dean. ²They shall serve as Chairperson and are a member with voting rights. ³They may appoint a professor of the KIT Department as Chairperson. ⁴The Chairperson shall appoint a professor of the KIT Department as Deputy.

(4) ¹The meetings of the habilitation committee are not open to the public. ²The habilitation committee has a quorum if all members have been invited properly and at least half of its members with voting rights are present. ³The habilitation committee shall decide with a simple majority of the members with voting rights present on the acceptance or rejection of the habilitation. ⁴In case of a tie, the habilitation work is considered rejected. Abstentions are not permitted. ⁵The vote on the assessment of habilitation work shall be an open roll call vote. ⁶The votes, including names, shall be included in the minutes.

(5) ¹The members are obliged to maintain confidentiality. ²This obligation also covers maintaining secrecy about consultation materials. ³Otherwise, the *KIT Rules of Procedure, as amended* shall apply.

Article 4 Habilitation Commission

(1) ¹The habilitation committee shall convene a habilitation commission to prepare its decisions. ²It shall comprise the KIT Dean as Chairperson and at least four additional members according to par. 2. ³The KIT Dean is a member with voting rights. ⁴They may appoint a different member of the habilitation commission as Chairperson.

(2) ¹As a matter of principle, only professors and members of the responsible KIT Department holding a habilitation may be appointed as additional members of the habilitation commission. ²If the habilitation committee considers it necessary with respect to the habilitation candidate's subject or area, members from a different KIT Department or a different university may be appointed, provided they fulfill the requirements according to cl. 1.

(3) ¹The habilitation commission shall be constituted at the time of the interim evaluation.

Article 5 General Procedural Rules

(1) ¹The habilitation candidate shall be informed of decisions according to these statutes in writing. ²The Chairperson of the responsible body shall notify about non-beneficial decisions via a notification open to appeal which shall include reasons and information on the rights to appeal.

(2) ¹The right to inspection of records shall be subject to the legal provisions and in particular to Art. 29 of the "Verwaltungsverfahrensgesetz für Baden-Württemberg" (Baden-Württemberg Administrative Procedure Act – LVwVfG).

II. Notification and Interim Evaluation

Article 6 Notification (Declaration of Intent to Obtain a Habilitation)

(1) ¹The candidate is to notify the KIT Dean in writing of the habilitation project at an early date. ²As a rule, the habilitation shall then be completed within a period of five years, taking into account special subject-specific circumstances. ³A schedule and an outline regarding the content of the habilitation project are to be submitted along with the notification.

(2) ²The KIT Dean shall decide whether the KIT Department is responsible for the habilitation in terms of the subject matter. ²Members of the habilitation committee according to Art. 3, par. 2, No. 1 may be consulted in preparation of this decision. ³If the KIT Department is responsible in terms of the subject matter, the applicant is to be notified of this. ⁴This notification must include the information that an interim evaluation according to Art. 7 is to be conducted. ⁵If the KIT Department is not responsible in terms of the subject matter, the applicant is also to be informed of this.

(3) ¹If the candidate does not continue with the habilitation after the notification, the notification shall not be considered an attempt within the meaning of Art. 16. ²The notification does not imply any mandatory and legally effective consequence for the application for habilitation to be submitted later.

Article 7 Interim Evaluation

(1) ¹The KIT Dean shall initiate the interim evaluation, as a rule two years after the notification. ²At this time, they shall ask the habilitation candidate to present for evaluation the major results of the accomplishments achieved regarding the habilitation thesis up to this time in the habilitation phase. ³The documents to be submitted to the KIT Dean for this purpose are detailed in the guidelines of the KIT Department according to par. 2. ⁴The KIT Dean shall forward the documents to the habilitation commission.

(2) ¹The KIT Departments shall regulate the further details of the evaluation procedure in guidelines adopted by the KIT Department Council, which are to be made available to the habilitation candidate along with the notification according to Art. 6, par. 2. ²The habilitation committee shall decide on the result of the interim evaluation.

(3) ¹The KIT Dean shall forward to the habilitation candidate the result of the evaluation; it shall disclose the strengths and weaknesses so that any shortcomings may be addressed. ²Art. 5, par. 1, cl. 2 shall not apply. ³If it is to be expected on the basis of the result of the interim evaluation that the written habilitation work will not fulfill the requirements according to Art. 12, the habilitation candidate shall, after an appropriate period of time to be determined by the habilitation committee, present a plan including content-related and methodological baselines, a detailed schedule, and the envisaged length of the work.

(4) ¹As a rule, the evaluation shall be completed within two months. ²If the evaluation comes to a negative result, this shall not be an obstacle to an application for admission according to Art. 8.

(5) ¹If the notification did not occur with sufficient time before the planned submission of the habilitation thesis, and if the deadline according to par. 1 cannot be met for this reason, the evaluation must nonetheless be conducted prior to the decision on admission to the habilitation.

III. Admission Procedure

Article 8 Requirements for Admission to the Habilitation

(1) ¹Admission to the habilitation requires

1. a doctorate,
2. as a rule, multiple years of scientific work in research and teaching in the subject or area for which the candidate wishes to obtain the habilitation (Art. 39, par. 2, cl. 1 LHG),
3. evidence of particular pedagogical-didactic aptitude (Art. 39, par. 5, cl. 2 LHG), and
4. a completed interim evaluation.

(2) ¹As a rule, evidence according to par. 1, cl. 1 is provided through a doctoral degree in a relevant subject obtained at a German university. ²Habilitation candidates with an equivalent academic degree from a university abroad have provided the evidence according to par. 1, cl. 1 if they have the right to hold the degree in Germany. ³In case of doubt concerning the recognition of equivalence of an academic degree from a research university abroad, an opinion from the Central Office for Foreign Education (*Zentralstelle für ausländisches Bildungswesen*) is to be obtained.

(3) ¹Professional experience outside of research and teaching may be recognized as evidence according to par. 1, cl. 2, provided it was in connection with scientific work.

(4) ¹Art. 9 shall apply concerning the evidence according to par. 1, No. 3. If the evidence according to Art. 9 cannot be provided at the time of the decision on admission, the habilitation commission, in

consultation with the habilitation candidate, shall determine the course in the degree program in the relevant subject or area which is to serve as evidence of pedagogical-didactic aptitude. ²In this case, admission is granted under the condition that the evidence is to be provided within a timeframe determined by the habilitation commission.

(5) ¹The application for admission to the habilitation should be submitted in writing to the KIT Dean of the responsible KIT Department within six months after conduct of the interim evaluation. ²The application shall include the subject or area for which the candidate is seeking the authorization to lecture.

(6) ¹The following must be submitted with the application:

1. A curriculum vitae describing the candidate's scientific and professional career;
2. doctoral certificate in the original or in an officially certified form;
3. evidence of particular pedagogical-didactic aptitude in accordance with Art. 9;
4. a declaration of commitment to observe the *Statutes for Safeguarding Good Research Practice at KIT*, as amended;
5. a written declaration on other ongoing or unsuccessfully concluded habilitation procedures and a declaration whether the habilitation thesis was already submitted in whole or in part in such a procedure, provided this is the case;
6. a written declaration about criminal and disciplinary proceedings and unexpunged criminal convictions, and whether academic degrees have been withdrawn or revoked, provided this is the case;
7. a written declaration about proceedings initiated in the past or ongoing concerning scientific misconduct, provided this is the case.

(7) ¹The KIT Dean shall inform the habilitation committee of receipt of an application for admission to the habilitation. ²The habilitation commission shall review whether the requirements according to par. 1 have been fulfilled and shall provide a statement to the habilitation committee. ³The habilitation committee convened by the KIT Dean shall decide on admission, as a rule within two months after receipt of the application. ⁴Admission may be subject to the imposition of a condition according to par. 4. ⁵Admission to the habilitation is to be denied

1. if the KIT Department to which the applicant applied for admission is not responsible in terms of the subject matter;
2. if the requirements according to par. 1 have not been fulfilled;
3. if the habilitation candidate has already applied for a habilitation procedure elsewhere for the subject or area for which they are seeking the authorization to lecture and that procedure has not yet been concluded;
4. if the habilitation candidate has already unsuccessfully concluded a habilitation procedure twice for the subject or area for which they are seeking the authorization to lecture;
5. if the documents required according to par. 6 are not presented despite repeated requests;
6. if an academic degree was withdrawn or revoked or facts are known which would justify such action;
7. if the habilitation candidate is not worthy of a habilitation.

(8) ¹Admission may be denied if scientific misconduct according to the *Statutes for Safeguarding Good Research Practice at KIT*, as amended, is present or was determined in the past. ²The habilitation committee shall make the decision on this matter.

(9) ¹The Chairperson of the habilitation committee shall inform the habilitation candidate of the decision on the application for admission.

Article 9 Evidence of Particular Pedagogical-didactic Aptitude

¹Evidence may be provided in particular by successful participation in further training and continuing education in didactics or by teaching a course in the subject or degree program in the relevant subject or area (e.g. colloquium, seminar, lecture, tutorial accompanying a lecture) in the subject or area for which the candidate is seeking the habilitation. ²Any course comprising at least two hours per week within the meaning of the studies and examination regulations in the subject or area in which the candidate is seeking the habilitation is considered to be a course in the degree program. ³In addition, the habilitation candidate may, as appropriate, include a list of all courses they have taught in the past as well as informative documents about results of teaching evaluations.

IV. Habilitation Procedure

Article 10 Habilitation Application

(1) ¹The application for habilitation is to be submitted to the KIT Dean of the responsible KIT Department in writing. ²The application must include the subject or area in which the candidate is seeking the habilitation and the subject or area in which the candidate is applying for the authorization to lecture.

(2) ¹The following must be submitted with the application:

1. A curriculum vitae describing the candidate's scientific and professional career;
2. doctoral certificate in the original or in an officially certified form;
3. the written habilitation work (Art. 12)
If the habilitation candidate is applying for the habilitation on the basis of scientific publications or publishable scientific works, then these must be submitted, each summarized, in a cumulative habilitation thesis, including a summary of the research focus covered by the publications.
The written habilitation work must be submitted in a printed copy and an electronic version with a confirmation by the habilitation candidate that they are identical;
4. a complete list of scientific publications and a list of relevant courses taught;
5. in the case of a cumulative habilitation, a separate list of the works summarized in the habilitation work with information whether and where they have been published;
6. a statement that the habilitation thesis or the scientific works presented, provided they were authored by the habilitation candidate alone, were prepared by them independently and without resources other than those indicated; in the case of scientific works authored jointly by the habilitation candidate and other authors, a declaration concerning the significant parts of the scientific work contributed by the habilitation candidate as well as a statement that these parts were prepared independently and without resources other than those indicated;
7. three proposed topics for the scientific lecture according to Art. 14. Proposal of particular topics does not constitute a right to their consideration. The topics must be proposed at the latest at the beginning of the inspection period of the reviews according to Art. 13, par. 3;
8. provided that admission was granted under a condition according to Art. 8, par. 7, cl. 3, evidence that this condition has been fulfilled;
9. written declarations according to Art. 8, par. 6, cl. 1, Nos. 5. to 7., provided the circumstances mentioned there have arisen in the meantime.

(3) ¹Unpublished works by the habilitation candidate may be included in the application.

(4) ²The KIT Dean shall decide whether the prerequisites of a proper application have been fulfilled. ²The decision about fulfillment of the prerequisites of a proper habilitation application should, as a rule, be made within two months after receipt.

(5) ¹If the prerequisites of a proper application have been fulfilled, the KIT Dean shall convene the habilitation committee. ²At this time, the group of people according to Art. 3, par. 2, No. 2 is to be determined anew, as appropriate.

(6) ¹Until a decision has been made concerning the written habilitation work, the habilitation application may be withdrawn by means of a written declaration to the Chairperson of the habilitation committee, without stating reasons, with the effect that it is deemed not to have been submitted.

Article 11 Start of the Habilitation Procedure

(1) ¹The habilitation committee shall decide, as a rule within two months after receipt of the habilitation application, whether to start the habilitation procedure.

(2) ¹The habilitation committee shall review whether the responsibility of the Department as determined in the course of admission is still present. ²It shall determine the subject or area for which the lecturing qualification is to be determined. ³The area should not be defined too narrowly; it should rather correspond approximately to the range of functions with which a university professorship is usually entrusted to attend to research and teaching.

(3) ¹The habilitation committee shall appoint the reviewers. ²The number of reviewers shall correspond to that required by the principle of proper assessment. ³In the case of cross-subject habilitations, at least one review sufficient for the particular area must be obtained for each area significantly touched upon. ⁴Only persons who hold the authorization to lecture (*venia legendi*) for a subject covered or touched upon significantly by the habilitation thesis or who have provided evidence of the required scientific knowledge in other ways may be appointed reviewers. ⁵At least one reviewer should belong to a university or research institution other than KIT.

(4) ¹The Executive Board, the Heads of Divisions, and the other KIT Departments shall be notified of the start of the habilitation procedure; the intended subject or area is to be indicated in the notification.

(5) ¹The Chairperson of the habilitation committee shall inform the habilitation candidate about acceptance of the habilitation application and the start of the habilitation procedure, indicating the subject or area determined. ²The habilitation candidate is to be informed of a rejection of the habilitation application.

Article 12 Written Habilitation Work

(1) ¹The habilitation thesis must be from the area for which recognition of the lecturing qualification is sought. ²The habilitation thesis must have been prepared independently, it must demonstrate the qualification for scientific research activities, and it must represent a significant contribution to scientific progress. ³As a rule, it must be prepared in German or English.

(2) ¹In place of a habilitation thesis, scientific publications prepared after the doctorate and related thematically to the subject or area for which the authorization to lecture is to be conferred may be presented; they are to demonstrate the candidate's suitability for the research activities in connection with a professorship. ²In this case, the scientific publications presented must individually or in total

fulfill the requirements mentioned in paragraph 1. ³The most important findings must be presented in writing in summarized form and placed within a framework topic (cumulative habilitation).

Article 13 Review Procedure

(1) ¹The Chairperson of the habilitation committee shall ask the reviewers to prepare the reviews and determine an appropriate deadline. ²Every reviewer must give reasons for the result of their assessment transparently and in writing. ³They shall each prepare a written review of the written habilitation work which must indicate whether the written work fulfills the requirements to be made of a habilitation. ⁴In particular, they should state their position on the promotion of the research area and the candidate's ability to conduct independent creative scientific work; they may also take into account the other publications and their scientific value.

(2) ¹The reviewers shall recommend acceptance or rejection of the habilitation thesis and shall state their position on the scope of the authorization to lecture sought. ²If reviews differ from one another, up to two additional reviews may be obtained.

(3) ¹As soon as the reviews are available, the Chairperson of the habilitation committee shall inform the members of the habilitation committee and the other persons with a right to inspect the documents according to cl. 4 that the written habilitation work and the reviews are available for inspection in the Dean's Office and in a protected electronic space. ²The inspection period should not be shorter than two weeks or longer than three months. ³The members of the habilitation committee have the right to make statements in writing until at most ten calendar days after the end of the inspection period. ⁴All other professors and the members of the KIT Department holding a habilitation have the right to inspect the documents.

(4) ¹On the basis of the reviews submitted and any statements according to par. 3, cl. 3 received, the habilitation committee shall decide on acceptance of the written habilitation work and continuation of the habilitation procedure or rejection of the work. ²If the written habilitation work is not accepted, the habilitation procedure is terminated. ³The Executive Board shall be informed of the termination of a habilitation procedure.

Article 14 Colloquium

(1) ¹After acceptance of the written habilitation work, the habilitation committee shall select the topic for the scientific lecture from the three proposed by the habilitation candidate and shall determine a date and time for the lecture and colloquium. ²The scientific lecture shall cover a topic in the subject or area for which evidence is to be provided for the lecturing qualification, but is not based exclusively on the topic of the written habilitation work. ³The habilitation committee may demand that additional topics be proposed. ⁴The habilitation candidate shall be informed of the selected topic and the date and time two weeks before the lecture.

(2) ¹The KIT Dean shall invite the professors, the members of the KIT Department holding a habilitation, the reviewers, and the members of the habilitation commission who do not belong to the KIT Department to the habilitation colloquium. ²The Executive Board, the Heads of Divisions, and the Heads of the other KIT Departments shall also be informed of the upcoming habilitation colloquium. ³With the agreement of the habilitation candidate, the habilitation colloquium may be open to other members of the KIT Department or of KIT.

(3) ¹The duration of the scientific lecture shall be approximately half an hour. ²As a rule, it shall be held in German or English. ³After the lecture, the KIT Dean shall chair a debate with the habilitation candidate. ⁴The lecture and debate shall provide evidence that the habilitation candidate masters the scientific fundamentals of the subject or area and that they are able to present the key subject-related concepts in a didactically satisfactory way. ⁵The group of people according to par. 2, cl. 1 has the right to ask questions.

(4) ¹Following the lecture and colloquium, the habilitation committee shall decide in closed session whether to accept the scientific lecture as oral habilitation work.

(5) ¹If the oral habilitation work is assessed as not fulfilling the requirements, the habilitation procedure is terminated. ²The habilitation candidate is to be informed of this decision.

Article 15 Decision-making and Award of the Habilitation

(1) ¹If the written habilitation work has been accepted and the oral habilitation work has fulfilled the requirements taking subject-specific and pedagogical-didactic aspects into account, the habilitation committee shall determine that the habilitation procedure has been completed successfully. ²At the same time, the habilitation committee shall designate the subject or area for which evidence of the lecturing qualification has been provided. ³If the habilitation committee seeks to deviate from the designation of the subject or area applied for, the habilitation candidate must be heard in advance.

(2) ¹The Chairperson of the habilitation committee shall inform the habilitation candidate orally of the result of the habilitation procedure directly following the decision. ²The habilitation is awarded when the habilitation candidate is informed of the decision. ³The Executive Board, the Heads of Divisions, and the Heads of the other KIT Departments shall be informed of this.

(3) ¹A habilitation degree certificate shall be issued. ²It shall include:

1. Last name, first name, date and place of birth, as well as the academic degrees of the holder of the habilitation;
2. the topic of the written habilitation work;
3. the subject or the areas for which the holder of the habilitation obtained the habilitation;
4. the statement that the habilitation entails the authorization to lecture (*venia legendi*) for the subject or area;
5. the date of the decision of the habilitation committee on successful completion of the habilitation procedure;
6. the signatures of the KIT Dean and the President;
7. the official seal of Karlsruhe Institute of Technology (KIT).

(4) ¹The habilitation certificate shall be handed over by the KIT Dean.

Article 16 Repetition of the Habilitation Procedure

(1) ¹The habilitation procedure may be repeated only once, and at the earliest one year after the rejection of a habilitation application or after a failed habilitation procedure. ²Admission to the repetition requires a decision to be made by the majority of the members with voting rights of the habilitation committee, which is to be newly appointed. ³Failed attempts at other departments of German universities or equivalent higher education institutions in the same or a neighboring subject or area are to be counted according to Art. 8, par. 7.

(2) ¹In the repeated habilitation procedure, the habilitation committee may permit submission of the written habilitation work accepted in the earlier habilitation procedure.

V. Authorization to Lecture

Article 17 Privatdozentinnen and Privatdozenten

(1) ¹During the semester following the habilitation, the *Privatdozentin* or *Privatdozent* should hold an inaugural lecture open to all members of KIT; its topic as well as its date and time shall be determined with the Dean's Office of the KIT Department; the KIT Department shall issue invitations. As a rule, the habilitation certificate shall be handed over in the context of the inaugural lecture.

(2) ¹The *Privatdozentin* or *Privatdozent* is required to teach at least two hours per week relating to the authorization to lecture. ²Conducting such courses must not be made conditional on remuneration for teaching.

(3) ¹Upon application and in particularly justified cases, the *Privatdozentin* or *Privatdozent* may be released by the KIT Department Council from the obligation of titular teaching for up to two semesters.

(4) ¹If members of the scientific staff are awarded the authorization to lecture, this shall not affect their official duties or the duties of their employment.

Article 18 Expansion of the Authorization to Lecture

¹Upon application, the habilitation committee of the KIT Department may expand the habilitation to include additional subjects or areas in which special scientific accomplishments have been achieved as evidence of the ability of the holder of the habilitation. ²The habilitation committee shall decide on the basis of the scientific accomplishments of the person in question whether a procedure according to Arts. 12 to 15 is to be conducted in whole or in part.

²Written work from a habilitation in a different subject/area may be recognized as habilitation work if it fulfills the requirements of the area for which the expansion of the authorization to lecture is being applied.

Article 19 Transferral of the Habilitation

(1) ¹Upon application, the authorization to lecture may also be awarded on the basis of a successful habilitation at a different department of a German university or equivalent higher education institution if scientific accomplishments are present that would have justified a habilitation in the KIT Department awarding the habilitation (transferral of the habilitation).

(2) ¹The following documents must be submitted with the application:

1. A curriculum vitae describing the candidate's scientific and professional career;
2. the written habilitation work;
3. a complete list of scientific publications and a list of relevant courses taught;
4. the habilitation certificate in the original or in an officially certified photocopy.

(3) ¹The habilitation committee of the KIT Department shall decide upon awarding the authorization to lecture and shall determine the subject or area. ²It may conduct an examination procedure according

to the provisions of these statutes or refrain from requiring individual pieces of habilitation work. ³As a rule, the *Privatdozentin* or *Privatdozent* shall first hold a lecture corresponding to the scientific lecture according to Art. 14 of these statutes in terms of type and scope. ⁴However, the *Privatdozentin* or *Privatdozent* is free to select the topic. Art. 14, pars. 3 and 4 shall apply accordingly.

(4) ¹Before the KIT Dean hands over the habilitation certificate, evidence must be provided that the habilitation candidate has forgone the authorization to lecture at the other university in writing.

Article 20 Suspension of the Authorization to Lecture

¹The authorization to lecture shall be suspended

1. as long as the *Privatdozentin* or *Privatdozent* is employed at KIT as a professor or junior professor;
2. as long as the *Privatdozentin* or *Privatdozent* is employed as a professor with a fixed-term contract at a university with the right to award habilitations;
3. as long as the *Privatdozentin* or *Privatdozent* is employed as a junior professor at a university with the right to award habilitations.

Article 21 Expiry and Revocation of the Authorization to Lecture

(1) ¹The authorization to lecture shall expire

1. if the holder of the habilitation is appointed or hired as a professor at a different university with the right to award habilitations;
2. if the holder of the habilitation is appointed *Privatdozentin* or *Privatdozent* at a different university or is awarded a corresponding authorization to lecture at a different university;
3. if the holder of the habilitation informs the President in writing that they forgo the authorization to lecture;
4. if the doctoral degree or an academic degree equivalent to the doctoral degree from a university abroad on which the habilitation was based has been revoked.

(2) ¹The authorization to lecture may be revoked if

1. a German court has handed down a criminal conviction which would result in loss of the rights of civil servants;
2. the holder of the habilitation committed an act which, if a civil servant had committed it, would result in disciplinary measures under civil service law which can be imposed only in a formal disciplinary procedure;
3. a reason exists which, in the case of a civil servant, would justify their dismissal from the civil service;
4. the holder of the habilitation violated the *Statutes for Safeguarding Good Research Practice at Karlsruhe Institute of Technology (KIT)*, as amended;
5. the holder of the habilitation proves unworthy.

(3) ¹The authorization to lecture is to be revoked if the *Privatdozentin* or *Privatdozent* has not fulfilled their obligation to conduct titular teaching according to Art. 39, par. 3, cl. 2 LHG for a non-insignificant period of time for reasons within their responsibility.

(4) ¹The decision on expiry or revocation according to par. 1 or 2 shall be made by the habilitation committee. Otherwise, Art. 48 ff. of the Landesverwaltungsverfahrensgesetz (Baden-Württemberg Administrative Procedure Act – LVwVfG) shall apply.

(5) ¹If the authorization to lecture expires or is revoked, the right to hold the designation “*Privatdozentin*” or “*Privatdozent*” shall expire as well.

Article 22 Retraction of the Habilitation

(1) ¹The habilitation may be retracted if its award was unlawful. ²Unlawfulness is to be assumed in particular if the authorization to lecture was obtained with impermissible means, for example deliberate deception.

(2) ¹The provision above notwithstanding, Art. 48 LVwVfG, as amended, shall apply.

(3) ¹If the authorization to lecture is retracted, the right to hold the designation “*Privatdozentin*” or “*Privatdozent*” expires as well.

VI. Protective Provisions

Article 23 Periods of Protection (Maternity Protection, Parental Leave, Exercise of Family Responsibilities)

(1) ¹The provisions of the Act on the Protection of Mothers at Work, in Training and at University (Maternity Protection Act – MuSchG), as amended, shall apply. ²The periods of maternity protection interrupt all periods according to these habilitation regulations. ³The duration of maternity protection is not included in the calculation of a period.

(2) ¹Upon application, the periods of parental leave according to the Bundeselterngeld- und Elternzeitgesetz (Federal Parental Allowance and Parental Leave Act – BEEG), as amended, shall be taken into account in the same way. ²The habilitation candidate must inform the habilitation committee in writing at the latest four weeks before the time when they would like to begin parental leave for which period they would like to take parental leave, and must include the required evidence. ³The habilitation committee is to review whether the legal requirements are fulfilled which would constitute a right to parental leave for an employee and shall inform the habilitation candidate of the result and the newly determined periods for examinations or other deadlines.

(3) ¹ The habilitation candidate shall be enabled to make use of the protective provisions to care for a close relative according to the Home Care Leave Act (Pflegezeitgesetz – PflegeZG), as amended.

Article 24 Compensation of Disadvantages

(1) ¹Upon application, habilitation candidates with a disability or a chronic disease that makes it difficult to conduct the habilitation work shall be granted appropriate compensation of disadvantages by the habilitation committee. ²The habilitation committee shall determine the form and scope of the compensatory measure according to the severity of the disability or disease that makes it difficult to conduct the habilitation work and for which evidence has been provided. ³Compensatory measures may include in particular the extension of examination periods or other deadlines provided for in these habilitation regulations; in addition or instead, appropriate compensation may be granted in other forms as well.

(2) ¹Applications for compensation of disadvantages are to be addressed to the habilitation committee in writing. ²Evidence of the disability or disease within the meaning of par. 1, cl. 1 is to be provided by means of a doctor's certificate that includes the medical findings necessary for assessment.

VII. Final Provisions

Article 25 Entry into Force and Transition Regulations

(1) ¹The present regulations shall enter into force on the day after publication in the official announcements of KIT. ²At the same time, the habilitation regulations of November 23, 1999 (Official Announcement No. 5/2000 of Karlsruhe Institute of Technology (KIT)) shall cease to be in force.

(2) ¹Habilitation procedures for which an application for admission was already submitted prior to entry into force of these habilitation regulations shall be conducted on the basis of the habilitation regulations of November 23, 1999 (Official Announcement No. 5/2000 of Karlsruhe Institute of Technology (KIT)).

Karlsruhe, July 13, 2022

Prof. Dr.-Ing. Holger Hanselka

(President)